U.S. DEPARTMENT OF COMMERCE; PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 33082.224

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

u.s. application No. (if known, see 37 Car (1) 5 1 1 1

INTERNATIONAL APPLICATION NO.

PCT/JP02/138548

December 27, 2002

TITLE OF INVENTION

SUBSTRATE PROCESSING APPARATUS

Hiroichi Inada, et al.

APPLICANT(S) FOR DO/EO/US

PRIORITY DATE CLAIMED

April 26, 2002

Hiroichi Inada, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2.
 ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
- 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau (see Form PCT/IB/308)
 - c. \Box is not required, as the application was filed in the United States Receiving Office (RO/US).
- A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371).
 - a.

 are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. \square have been transmitted by the International Bureau.
 - c. □have not been made; however, the time limit for making such amendments has NOT expired.
 - d. \square have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 34 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. MAn assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.

 A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14.

 A substitute specification.
- 15.

 A change of power of attorney and/or address letter.
- 16. Other items or information:
- a. Cover Sheet of PCT Publication WO 03/092055 with International Search Report (PCT/ISA/210)
- b. Form PCT/IPEA/409 and 416 (in Japanese)
- c. Form PCT/IPEA/401 (in Japanese)
- d. Forms PCT/IB/301, PCT/IB/304 and PCT/IB/308
- e. Translation of Claims after First and Second Amendment

CUSTOMER NO. 00441

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U.S. DEPARTMENT OF COMMERCE: PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 33082M224 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see CONCERNING A FILING UNDER 35 U.S.C. 371 37 CFR 1.5) **CALCULATIONS** PTO USE ONLY 17.

The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): International preliminary examination fee paid to USPTO No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee Neither international preliminary examination fee (37 CFR 1.482) nor International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$950.00 Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.495(e)). \$ ---Number Filed Claims Number Extra Rate \$ Total Claims 18 - 20 = x \$18.00 0 x \$84.00 Independent Claims 2-3= \$ Multiple dependent claim(s) (if applicable) + \$290.00 TOTAL OF ABOVE CALCULATIONS = \$ 950 Reduction by 2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). SUBTOTAL = \$950 Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. \$ 40.00 \$990.00 TOTAL FEES ENCLOSED = Amount to be refunded 8 ■ A check in the amount of \$990.00 to cover the above fees is enclosed. □ Please charge my Deposit Account No. _____ in the amount of \$____ to cover the above fees. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-</u> NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: SMITH, GAMBRELL & RUSSELL, LLP **SIGNATURE** 1850 M Street, N.W., Suite 800 Washington, D.C. 20036 Telephone: (202) 659-2811 Michael A. Makuch, Reg. No. 32,263

NAME

REGISTRATION NO. Date: October 14, 2004

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